

Attorney Docket No.: 4402-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**FOR THE UNITED STATES RECEIVING OFFICE OF THE**  
**PATENT COOPERATION TREATY**

Applicant/Inventor : CAPLYGIN, Dimitri  
U.S. Serial No. : 10/018,733  
U.S. Filing Date : 18 December 2001 (18.12.2001)  
International Patent Application No.: PCT/AU00/00661  
International Filing Date : 15 June 2000 (15.06.2000)  
Earliest Claimed Priority : 15 June 1999 (15.06.1999)  
Title of Invention : SYSTEM FOR ENHANCEMENT OF NEUROPHYSIOLOGICAL PROCESSES  
Examiner : To Be Assigned  
Group Art Unit : To Be Assigned

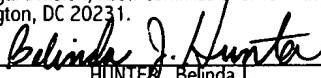
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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR §1.10**

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HUNTER, Belinda J.

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§1.56, 1.97 AND 1.98**

SIR:

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant submits herewith an *Information Disclosure Statement* along with cited references listed on Form PTO-1449.

These references were cited in the *International Search Report* dated 8 September 2000 (08.09.2000) issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/AU00/00661. A copy of the *International Search Report* is also

enclosed herewith. However, the following references cited therein: WO 98/44848, WO 95/29447, U.S. Patent No. 5,363,154 and U.S. Patent No. 4,474,186 must be obtained separately and will be submitted at a later date.

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3). Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: April 9, 2002  
New York, New York

Respectfully submitted,

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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

### Complete if Known

Application Number	10/018,733
Filing Date	18 December 2001 (18.12.2001)
First Named Inventor	CAPLYGIN, Dimitri
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned

Attorney Docket Number 4402-003

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A	5,377,100	A	Pone et al		12.27.1994	See International Search Report
B	5,852,489	A	Chen		12.22.1998	See International Search Report
C	5,920,374	A	Vaohiades et al		07.06.1999	See International Search Report
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
U	CA	2,012,863	A1		Brian W Tanslev et al	09.22.1991	See Intl Search Rot	Y
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AC								
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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-96)

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Substitute for form 1449B/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/018,733
				Filing Date	18 December 2001 (18 12 2001)
				First Named Inventor	CAPLYGIN, Dimitri
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
				Attorney Docket Number	4402-003
(use as many sheets as necessary)					
Sheet	2	of	2		

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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